B1 (Official Foras) (4/11) 34833 Doc 1 Filed 10/12/11 Entered 10/12/11 10:18:25 Desc Main Document United States Bankruptcy Court Page 1 of 5 **Voluntary Petition District of Utah** Name of Debtor (if individual, enter Last, First, Middle): Name of Joint Debtor (Spouse) (Last, First, Middle): Horizon Mortgage and Investment Inc. All Other Names used by the Joint Debtor in the last 8 years All Other Names used by the Debtor in the last 8 years (include married, maiden, and trade names): (include married, maiden, and trade names): Maple Apartments, Independent Financial & Investment, Independent Property Management, LLC Last four digits of Soc. Sec. or Individual-Taxpayer I.D. (ITIN)/Complete EIN Last four digits of Soc. Sec. or Individual-Taxpayer I.D. (ITIN)/Complete EIN (if more than one, state all): (if more than one, state all): 84-1371257 Street Address of Joint Debtor (No. & Street, City, and State): Street Address of Debtor (No. & Street, City, and State): c/o Gil A. Miller ZIP CODE ZIP CODE 215 South State Street, Suite 550 84111-0000 Salt Lake City, UT 84111 County of Residence or of the Principal Place of Business: County of Residence or of the Principal Place of Business: Salt Lake Mailing Address of Debtor (if different from street address): Mailing Address of Joint Debtor (if different from street address): ZIP CODE ZIP CODE Location of Principal Assets of Business Debtor (if different from street address above): Davis County, Utah Chapter of Bankruptcy Code Under Which Type of Debtor Nature of Business (Check one box.) the Petition is Filed (Check one box) (Form of Organization) (Check one box.) Health Care Business Chapter 7 Single Asset Real Estate as defined in 11 Chapter 15 Petition for Recognition of U.S.C. § 101(51B) Chapter 9 Individual (includes Joint Debtors) a Foreign Main Proceeding Railroad Chapter 11 See Exhibit D on page 2 of this form. Chapter 15 Petition for Recognition of Stockbroker Corporation (includes LLC and LLP) Chapter 12 a Foreign Nonmain Proceeding Commodity Broker Chapter 13 Partnership Clearing Bank Nature of Debts Other (If debtor is not one of the above Other (Check one box) entities, check this box and state type of entity Debts are primarily below.) Debts are primarily consumer debts, Tax-Exempt Entity defined in 11 U.S.C. § 101(8) as business debts. (Check box, if applicable.) "incurred by an individual primarily for Debtor is a tax-exempt organization under a personal, family, or household purpose." Title 26 of the United States Code (the Internal Revenue Code). Filing Fee (Check one box.) **Chapter 11 Debtors** Check one box: Full Filing Fee attached Debtor is a small business debtor as defined in 11 U.S.C. § 101(51D). Debtor is not a small business debtor as defined in 11 U.S.C. § 101(51D). Filing Fee to be paid in installments (Applicable to individuals only) Must attach signed Check if: Debtor's aggregate noncontingent liquidated debts (excluding debts owed to insiders or application for the court's consideration certifying that the debtor is unable to pay fee except in installments. Rule 1006(b). See Official Form 3A. affiliates) are less than \$2,343,300 (amount subject to adjustment on 4/01/13 and every three Filing Fee waiver requested (Applicable to chapter 7 individuals only). Must attach Check all applicable boxes: signed application for the court's consideration. See Official Form 3B. A plan is being filed with this petition. Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b). THIS SPACE IS FOR COURT USE ONLY Statistical/Administrative Information Debtor estimates that funds will be available for distribution to unsecured creditors. Debtor estimates that, after any exempt property is excluded and administrative expenses paid, there will be no funds available for distribution to unsecured creditors. Estimated Number of Creditors  $\boxtimes$ 25,001-50,001-OVER 10 001-1-49 50-99 100-199 200-999 1,000-5001-50,000 100,000 100,000 5.000 10,000 25,000 Estimated Assets \$100,000,001 \$500,000,001 \$500,001 \$1,000,001 \$10,000,001 \$50,000,001 More than \$0 to \$50,001 to \$100,001 to to \$100 to \$500 to \$1 billion \$1 billion \$50,000 to \$1 to \$10 to \$50 \$100,000 \$500,000 million million million million million Estimated Debts \$500,000,001 More than \$50,000,001 \$100,000,001 \$100,001 to \$500,001 \$1,000,001 \$10,000,001

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Voluntary Petition		Name of Debtor(s):	
(This page must be completed and filed in every case)		Horizon Mortgage and Investment Inc.	
	All Prior Bankruptcy Cases Filed Within Las		Date Filed:
Location Where Filed:	- None -	Case Number:	Date Flied.
Location Where Filed:		Case Number:	Date Filed:
Pe	nding Bankruptcy Case Filed by any Spouse, Partner, or	Affiliate of this Debtor (If more than one, attach	additional sheet.)
Ivalite of Debtor. Dee Alleri Pariadir		Case Number: 10-37546	Date Filed: 12/10/10
District: <b>Utah</b>		Relationship: Sole Shareholder	Judge: Joel T. Marker
Exhibit A		${f Exhibit~B}$ (To be completed if debtor is an individual	
15(d) of the Securities Exchange Act of 1934 and is requesting relief under chapter 11.)		whose debts are primarily consumer debts.)  I, the attorney for the petitioner named in the foregoing petition, declare that I have informed the petitioner that [he or she] may proceed under chapter 7, 11, 12, or 13 of title 11, United States Code, and have explained the relief available under each such chapter. I further certify that I delivered to the debtor the notice required by 11 U.S.C. § 342(b).	
Exhibit A is attached and made a part of this petition.		Signature of Attorney for Debtor(s)	(Date)
Exhibit C  Does the debtor own or have possession of any property that poses or is alleged to pose a threat of imminent and identifiable harm to public health or safety?  Yes, and Exhibit C is attached and made a part of this petition.  No			
Exhibit D			
Exhibi	ted by every individual debtor. If a joint petition is filed, each spous it D completed and signed by the debtor is attached and made a part of petition:  It D also completed and signed by the joint debtor is attached and ma	of this petition.	
Information Regarding the Debtor - Venue			
(Check any applicable box.)  Debtor has been domiciled or has had a residence, principal place of business, or principal assets in this District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District.			
There is a bankruptcy case concerning debtor's affiliate, general partner, or partnership pending in this District.			
Debtor is a debtor in a foreign proceeding and has its principal place of business or principal assets in the United States in this District, or has no principal place of business or assets in the United States but is a defendant in an action or proceeding [in a federal or state court] in this District, or the interests of the parties will be served in regard to the relief sought in this District.			
Certification by a Debtor Who Resides as a Tenant of Residential Property (Check all applicable boxes.)			
Landlord has a judgment against the debtor for possession of debtor's residence. (If box checked, complete the following.)			
(Name of landlord that obtained judgment)			
(Address of landlord)			
Debtor claims that under applicable nonbankruptcy law, there are circumstances under which the debtor would be permitted to cure the entire monetary default that gave rise to the judgment for possession, after the judgment for possession was entered, and			
Debtor has included in this petition the deposit with the court of any rent that would become due during the 30-day period after the filing of the petition.			
Debtor certifies that he/she has served the Landlord with this certification. (11 U.S.C. § 362(l)).			

B1 (Official For 125/4210) 1-34833 Doc 1 Filed 10/12/11 Entered 10/12/11 10:18:25 Desc Mal<sup>Age 3</sup> Page 3 of 5 Document Name of Debtor(s): Voluntary Petition (This page must be completed and filed in every case) Horizon Mortgage and Investment Inc. Signatures Signature of a Foreign Representative Signature(s) of Debtor(s) (Individual/Joint) I declare under penalty of perjury that the information provided in this I declare under penalty of perjury that the information provided in this petition is true and correct. petition is true and correct, that I am the foreign representative of a debtor in a [If petitioner is an individual whose debts are primarily consumer debts and foreign proceeding, and that I am authorized to file this petition. has chosen to file under chapter 7] I am aware that I may proceed under chapter 7, 11, 12 or 13 of title 11, United States Code, understand the relief (Check only one box.) available under each such chapter, and choose to proceed under chapter 7. I request relief in accordance with chapter 15 of title 11, United States Code. Certified copies of the documents required by 11 U.S.C. § 1515 are [If no attorney represents me and no bankruptcy petition preparer signs the petition] I have obtained and read the notice required by 11 U.S.C. § 342(b). attached. Pursuant to 11 U.S.C. § 1511, I request relief in accordance with the chapter of title 11 specified in this petition. A certified copy of the order I request relief in accordance with the chapter of title 11, United States granting recognition of the foreign main proceeding is attached. Code, specified in this petition. Signature of Debtor (Signature of Foreign Representative) Signature of Joint Debtor (Printed Name of Foreign Representative) Telephone Number (If not represented by attorney) Date Date Signature of Non-Attorney Bankruptcy Petition Preparer Signature of Attorney\* I declare under penalty of perjury that: 1) I am a bankruptcy petition preparer as defined in 11 U.S.C. § 110; 2) I prepared this document for compensation and have provided the debtor with a copy of this document and the notices and information required under 11 U.S.C. §§ 110(b), 110(h), and 342(b); and, 3) if Signature of Attorney for Debtor(s) rules or guidelines have been promulgated pursuant to 11 U.S.C. § 110(h) setting Michael R. Johnson Bar No. **7070** a maximum fee for services chargeable by bankruptcy petition preparers, I have given the debtor notice of the maximum amount before preparing any document Printed Name of Attorney for Debtor(s) for filing for a debtor or accepting any fee from the debtor, as required in that Ray, Quinney & Nebeker P.C. section. Official form 19 is attached. Firm Name 36 South State Street, Suite 1400 Salt Lake City, UT 84111 Address (801) 532-1500 Fax:(801) 532-7543 Printed Name and title, if any, of Bankruptcy Petition Preparer Telephone Number October 11, 2011 Social-Security number (If the bankruptcy petition preparer is not an individual, state Date the Social Security number of the officer, principal, responsible person or partner of the bankruptcy petition preparer.)(Required by 11 U.S.C. § 110.) \*In a case in which § 707(b)(4)(D) applies, this signature also constitutes a certification that the attorney has no knowledge after an inquiry that the information in the schedules is incorrect. Address Signature of Debtor (Corporation/Partnership) I declare under penalty of perjury that the information provided in this petition is true and correct, and that I have been authorized to file this petition on behalf of Date the debtor. The debtor requests relief in accordance with the chapter of title 11, United States Code specified in this petition. Signature of Bankruptcy Petition Preparer or officer, principal, responsible person, or partner whose social security number is provided above. Mustee

Signature of Authorized Individual

Gil A. Miller, in his capacity as Chapter 11 Trustee of the Bankruptcy Estate of Dee Allen Randall, Debtor, Case No. 10-37546, pending in the United States Bankruptcy Court, District of Utah

Printed Name of Authorized Individual

President

Title of Authorized Individual

October 11, 2011

Date

Names and Social-Security numbers of all other individuals who prepared or assisted in preparing this document unless the bankruptcy petition preparer is not an individual

If more than one person prepared this document, attach additional sheets conforming to the appropriate official form for each person.

A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal Rules of Bankruptcy Procedure may result in fines or imprisonment or both 11 U.S.C. § 110; 18 U.S.C. § 156.

## DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF HORIZON MORTGAGE AND INVESTMENT INC.

I. Gil A. Miller, Chapter 11 Trustee of the Bankruptcy Estate of Dee Allen Randall, Debtor, Case No. 10-37546, pending in the United States Bankruptcy Court, District of Utah, as the President, of Horizon Mortgage and Investment Inc., a Utah corporation, named as the debtor in this case, declare under penalty of perjury that I have read the foregoing Voluntary Petition, List of Creditors Holding 20 Largest Unsecured Claims, List of Equity Security Holders, the Creditor Matrix and Verification of Creditor Matrix, and that they are true and correct to the best of my information and belief, based solely upon my review of the records of the aforementioned corporation and not based upon my personal knowledge.

DATED this 11<sup>th</sup> day of October, 2011.

HORIZON MORTGAGE AND INVESTMENT INC.

Miller, in his capacity as Chapter 11 Trustee of the Bankruptcy Estate of Dee Allen Randall, Debtor, Case No. 10-37546, pending in the United States

Bankruptcy Court, District of Utah, President

## ACTION IN LIEU OF SPECIAL MEETING OF THE BOARD OF DIRECTORS

The undersigned, being the sole Director of Horizon Mortgage and Investment Inc., a Utah corporation (the "Corporation"), does by written consent pursuant to Utah Code Ann. §16-10a-704, hereby adopts the following resolutions in lieu of a special meeting of the Board of Directors:

RESOLVED, that Gil A. Miller, Chapter 11 Trustee of the Bankruptcy Estate of Dee Allen Randall, Debtor, Case No. 10-37546, now pending in the United States Bankruptcy Court, District of Utah, as the President of the Corporation, is authorized in his capacity of Chapter 11 Trustee to engage Ray Quinney & Nebeker as legal counsel to assist the Corporation in evaluating the restructuring options of the Corporation, to assist in preparing the Corporation for a possible bankruptcy filing, and to serve as the Corporation's general restructuring consultant and general bankruptcy counsel, respectively, in the event of a Chapter 11 filing; and

RESOLVED, that Gil A. Miller, Chapter 11 Trustee of the Bankruptcy Estate of Dee Allen Randall, Debtor, Case No. 10-37546, pending in the United States Bankruptcy Court, District of Utah, as the President of the Corporation, is authorized in his capacity of Chapter 11 Trustee to engage such other estate professionals as he deems appropriate in his sole discretion to further assist the Corporation in evaluating the restructuring options of the Corporation and to assist with the restructuring of the Corporation's obligations in the event of a Chapter 11 filing; and

RESOLVED, that Gil A. Miller, Chapter 11 Trustee of the Bankruptcy Estate of Dee Allen Randall, Debtor, Case No. 10-37546, pending in the United States Bankruptcy Court, District of Utah, as the President of the Corporation, is authorized, in the event the President deems it appropriate in the President's sole discretion, authorized in his capacity of Chapter 11 Trustee to sign and file on behalf of the Corporation a petition for relief under Chapter 11 of Title 11 of the United States Code with the United States Bankruptcy Court for the District of Utah, as well as all related papers that are necessary or appropriate in connection with the bankruptcy petition.

The undersigned hereby represents and warrants that he is the sole Director of the Corporation. The foregoing resolutions are hereby adopted by the undersigned as the sole Director of the Corporation.

DATED to be effective as of October 11, 2011.

Mululle Trustee

GIL A. MILLER, in his capacity as Chapter 11 Trustee of the Bankruptcy Estate of Dee Allen Randall, Debtor, Case No. 10-37546, pending in the United States Bankruptcy Court, District of Utah